

1 **MODELLING THE IMPACT OF AGROMETEOROLOGICAL VARIABLES ON SOYBEAN**
2 **YIELD IN THE MATO GROSSO DO SUL: 2000-2019**

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30
31 **Abstract**

32 The study of the soybean yield variability influenced by the climate contributes to the planning of strategies to
33 mitigate its negative effects. Thus, our aim was to calibrate agrometeorological models for soybean yield
34 forecast and identify the weather variables that most influence soybean yield. This study used historical series of
35 climate and soybean yield data from soybean-producing locations in the Mato Grosso do Sul state, Brazil. The
36 historical climate series was 20 years (2000-2019). The soybean production, yield, and planted area data of the
37 localities were in the period from 2009-2018. Multiple Linear Regression analysis was the statistical tool used
38 for data modeling. The models from the north and central regions forecast of anticipation of 2 months since the
39 final data necessary to apply the model were EXC_{JANC} and P_{JANC}, respectively. The models calibrated for the
40 southern region reported anticipation of one month since the final data necessary to apply the model was
41 EXC_{FEVC}. The calibrated models used to forecast soybean yield as a function of climatic conditions have a high
42 degree of significance ($p < 0.05$), high accuracy and errors lower. The models for the northern and central regions
43 show a prevision of anticipation of 2 months before soybean harvest, a period that is essential for producers to be
44 able to conduct pre- and post-harvest planning. The climate variable with the greatest negative influence ($r = -$
45 0.54) on soybean yield in Mato Grosso do Sul state was water stress in December.

46 **Keywords** Crop modeling; Climate; Yield zoning; Spatial error model; *Glycine max* L.

47 **1 Introduction**

48 Worldwide production of soybeans was greater than 347 million tons in the harvest of 2017/18 when 126 million
49 hectares were planted. The United States, Brazil, and Argentina producing 121million tons, 107 million tons, and
50 57 million tons, respectively (USDA, 2018). Brazil, in this harvest, produced 32.43% of soybeans worldwide,
51 despite the large climate variability that occurs in production regions in the country (Sentelhas et al., 2015). The
52 Mato Grosso do Sul State produced 7.35% of national production (CONAB, 2019).

53 Climate is one of the principal factors that cause reductions in soybean yield (Sentelhas et al., 2015). Soil water
54 stress is the climatic variable that strongly limits crop yields (Battisti et al., 2017). The condition of soil water is
55 a sensitive indicator of the future yield of grains (Martorano et al., 2009). Bonato et al. (1998) related that
56 variation in meteorological factors in a region where soybeans are being cultivated will cause a reduction in crop
57 growth, development, and production.

58 Soybeans reach their productive potential under appropriate climatic conditions, provided that no other limiting
59 factors occur (Franke, 2000). Air temperature, solar radiation, soil moisture, and water stress are determinant
60 meteorological factors in the efficiency of plant physiological processes (Bonato et al., 1998; Battisti et al.,
61 2017).

62 Crop models are the best methods of quantitatively demonstrating the effects of climate on crop disease
63 emergence, soybean yield and quality variation (Aparecido et al., 2018). Climatic factors are the main
64 contributors to the occurrence and proliferation of plant diseases, however, these factors can be simulated from
65 crop modeling (Rolim et al., 2008).

66 Studies like Fontana et al. (2001), Dourado Neto et al. (2004), and Martorano et al. (2012) showed that
67 modelling is of fundamental importance for crop forecasting. However, studies on the forecast of the effects of
68 climate variables on soybean development and yield in the state of Mato Grosso do Sul are still scarce in the
69 literature.

70 The study of the soybean yield variability influenced by the climate is complex, however, it contributes to the
71 planning of strategies to mitigate the negative effects caused by the climate in agricultural production. Thus, our
72 aim was to calibrate agrometeorological models for soybean yield forecast and identify the weather variables that
73 most influence soybean yield.

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77 **2 Materials and Methods**

78 **2.1 Locations and databases**

79

80 The study used historical series of climate and soybean yield from soybean-producing locations in the Mato
81 Grosso do Sul state, Brazil. The soybean production (number of sacks), yield (sacks ha⁻¹), and planted area (ha)
82 data were obtained from the Association of Producers of Soybean, Corn, and other agricultural grains of the
83 State of Mato Grosso do Sul - APROSOJA (www.aprosoja.com.br) website in the period from 2009-2019. We
84 organized the data of the localities by the North, Center, and South regions of the state of Mato Grosso do Sul to
85 create homogeneous groups based on their peculiarities (Fig. 1).

86 The daily air temperature (maximum, mean, and minimum, ° C) and daily precipitation (P, mm) data for 2000-
87 2019 were obtained from the database of NASA Prediction of Worldwide Energy Resource (NASA POWER,
88 2019). Then the agrometeorological data were organized on a monthly scale.

89

90 **2.2 Potential evapotranspiration and Climatological Water Balance**

91

92 We calculated the potential evapotranspiration by the Camargo (1991) method, according to Eq. 1.

93

$$PET = 0.01 \times Q_o \times T_{mean} \times N \quad (1)$$

94

95 where Q_o is the extraterrestrial solar irradiance (mm day⁻¹); T_{mean} is the mean air temperature; N is the number
96 of days of the month referred.

97 We estimated the climatological water balance of the localities studied by the method of Thornthwaite and
98 Mather (1955) (Eqs 2-7). The available soil water capacity of 40 mm was used.

99

100

$$101 \quad \text{if } (P - PET)_i < 0 = \begin{cases} Nac_i = Nac_{i-1} + (P - PET)_i \\ STO_i = AWC e^{\frac{(Nac_i)}{AWC}} \end{cases} \quad (2)$$

$$102 \quad \text{if } (P - PET)_i \geq 0 = \begin{cases} STO_i = STO_{i-1} + (P - PET)_i \\ NAC_i = AWC e^{\frac{(STO_i)}{AWC}} \end{cases} \quad (3)$$

$$103 \quad ALT_i = STO_i - STO_{i-1} \quad (4)$$

$$104 \quad ALT_i = \begin{cases} P + |ALT_i|, & \text{if } ALT < 0 \\ PET_i, & \text{if } ALT \geq 0 \end{cases} \quad (5)$$

$$105 \quad DEF = PET - AET \quad (6)$$

$$106 \quad SUR_i = \begin{cases} 0, & \text{if } AWC < 0 \\ (P - PET)_i - ALT_i, & \text{if } AWC = 0 \end{cases} \quad (7)$$

107
108 where AWC is available soil water capacity (mm); STO is soil water storage (mm); SUR is water surplus in the
109 soil-plant-atmosphere system (mm); DEF is water deficiency in the soil-plant-atmosphere system (mm); NAC is
110 the sum of rainfall – potential evapotranspiration; P is rainfall (mm); PET is potential evapotranspiration (mm);
111 AET is actual evapotranspiration (mm); ALT is soil water storage of the current month - soil water storage of the
112 preceding month (mm), and i is the monthly period.

114 2.3 Statistical analysis

115
116 The temporal variability of soybean production and yield were analyzed by planted area for the three regions that
117 the studied localities were organized. The means of these variables were compared by the Scott-Knott test at the
118 5% probability level.

119 Multiple Linear Regression (MLR) analysis was the statistical tool used for data modeling (Eq. 8). The
120 independent variables were the climatic variables: air temperature ($^{\circ}\text{C}$), rainfall (mm), potential
121 evapotranspiration (mm), water deficit, and water excess (mm). The dependent variable in the model was
122 soybean yield (sacks ha^{-1}). Innumerable models were generated for each region of Mato Grosso do Sul state
123 (north, central, and south), so the model with the highest accuracy was selected for the regions.

$$125 \quad Y = CL + aX_1 + bX_2 + cX_3 + dX_4 + eX_5 + \varepsilon \quad (8)$$

126
127 where Y is the soybean yield (sacks ha^{-1}) in the localities analyzed; a , b , c , d , and e are the model parameters
128 (weights); X_1 , X_2 , X_3 , X_4 , and X_5 are the selected climatic variables, CL is the linear coefficient (constant term)
129 and ε is random error.

130 The phenology of soybeans is shown in Fig. 2. We considered in the data modeling that soybean planting was in
131 early October and harvesting occurred in late March of the following year according to a literature review.
132 Therefore, the climatic data used in the prediction models were from October (OCT_P), November (NOV_P), and
133 December (DEC_P) (soybean planting year), and January (JAN_C), February (FEB_C) and March (MAR_C) (soybean
134 harvest year).

135 The estimation method employed was the minimum ordinary square (MOS), which minimizes the sum of the
136 squared errors of the model (Draper and Smith, 1980), through a generalized reduced gradient (GRG₂)
137 optimization system (Lasdon and Waren, 1982).

138 The assumptions tested to verify the adjustment of the model were: 1) collinearity analysis between explanatory
139 variables (multicollinearity); 2) normality of the errors; and 3) homeostacy of the variables (Gujarati and
140 Porter, 2011).

141 Pearson's correlation analysis (r) verified multicollinearity between the explanatory variables. Explanatory
142 variables that demonstrated $r \geq 0.7$ were removed from the modeling. Collinearity of explanatory variables is a
143 problem in the models, especially when the analysis of coefficient weights (elasticity or sensitivity) occurs
144 (Gujarati and Porter, 2011). Also, we correlated climate variables with soybean production variables, so that we
145 may identify which climate variables most influenced soybean cultivation in the studied localities. We used the
146 Kolmogorov-Smirnov test to verify the normality of model errors.

147 After calibration of the models, we analyzed the sensitivity of the models (Gujarati and Porter, 2011). In this
148 elasticity analysis, the angular coefficients (weights) of the independent variables were compared, therefore, the
149 higher the weight of the climate variables, the more these variables influenced soybean production.

150 The models were calibrated using a routine from "Visual Basic for Applications" (VBA) from MS-Excel 2013.
151 We used the following indices to select the best calibrated model for the regions: 1) Pearson correlation (r); 2)
152 Adjusted coefficient of determination(R^2); 3) Wilmott Concordance (d); 4) Confidence Index (c) from Camargo
153 and Sentelhas (1997); 5) Random error (E_a); 6) Systematic error (E_s); 7) Maximum absolute error (ME); 8)
154 Mean squared errors (MSE); 9) Root mean squared error (RMSE); 10) Mean absolute error (MAE); 11) Mean

absolute percentage error (MAPE) (Eqs. 9 to 19). The regressions that presented the F test with a 5% probability, we selected these variables to verify a higher degree of confidence in the regressions.

$$158 \quad r = \frac{\sum_{i=1}^n (Y_{obsi} - \bar{Y}_{obs}) \times (Y_{esti} - \bar{Y}_{est})}{\sqrt{\sum_{i=1}^n (Y_{obsi} - \bar{Y}_{obs})^2} \times \sqrt{\sum_{i=1}^n (Y_{esti} - \bar{Y}_{est})^2}} \quad (9)$$

$$159 \quad R^2_{adjusted} = \left[1 - \frac{(1-R^2) \times (n-1)}{N-k-1} \right] \quad (10)$$

$$160 \quad d = 1 - \frac{\sum_{i=1}^N (Y_{obsi} - Y_{esti})^2}{\sum_{i=1}^N (|Y_{esti} - \bar{Y}| + |Y_{obsi} - \bar{Y}|)} \quad (11)$$

$$161 \quad c = r \cdot d \quad (12)$$

$$162 \quad Ea = \sqrt{\frac{\sum_{i=1}^N (Y_{esti} - \bar{Y})^2}{N}} \quad (13)$$

$$163 \quad Es = \sqrt{\frac{\sum_{i=1}^N (Y_{obsi} - \bar{Y})^2}{N}} \quad (14)$$

$$164 \quad ME = \max(|Y_{obsi} - Y_{esti}|)_{i=1}^n \quad (15)$$

$$165 \quad MSE = \frac{\sum_{i=1}^N (Y_{obsi} - Y_{esti})^2}{N} \quad (16)$$

$$166 \quad RMSE = \sqrt{\frac{\sum_{i=1}^N (Y_{obsi} - Y_{esti})^2}{N}} \quad (17)$$

$$167 \quad MAE = \frac{\sum_{i=1}^N |Y_{obsi} - Y_{esti}|}{N} \quad (18)$$

$$168 \quad MAPE(\%) = \frac{\sum_{i=1}^n \left(\frac{|Y_{esti} - Y_{obsi}|}{Y_{obsi}} \right) \times 100}{N} \quad (19)$$

where Y_{esti} : interpolated variable; Y_{obsi} : observed variable; N: number of data, and k: number of independent variables in the regression.

We adopted for the performance interpretation of the confidence index of Camargo and Sentelhas (1997): > 0.85 = “Excellent”; 0.76 to 0.85 = “Very good”; 0.66 to 0.75 = “Good”; 0.61 to 0.65 = “Average”; 0.51 to 0.60 = “Insatisfactory”; 0.41 to 0.50 = “Bad” and < 0.40 = “Terrible”.

3 Results and Discussion

There was high temporal variability in the agrometeorological elements studied in soybean-producing regions in the state of Mato Grosso do Sul (Fig. 3). The highest mean air temperatures ($_{air}T$) occurred in October, December, and February in the northern, central, and southern regions of Mato Grosso do Sul (MS) state, respectively. In the northern region of the state occurred the highest $_{air}T$, with 27°C. While, the lowest mean air temperatures of the regions occurred between June and July, where the lowest mean air temperature was in the southern region of MS of 19°C. Also, the southern region of the state presented a high variation of mean air temperature between the regions. These results are within adequate air temperatures for soybean cultivation in MS. Similar results were found by Alvares et al. (2015).

The annual water deficit (WD) is more intense in the north of the State of Mato Grosso do Sul between May to October, with 140 mm y^{-1} (Fig. 3). In the south region, the WD was the lowest and occurred between August and September with an accumulated value of 29.76 mm y^{-1} . The WD of the central region occurred between July and August with an accumulated value of 39.71 mm y^{-1} . Fietz and Urchei (2002) reported similar results for WD when the evaluated the influence of WD on soybean cultivation in Mato Grosso do Sul.

In all regions, there was a significant increase in the production of soybeans from 2009 to 2018. For example, in the southern region, this value increased 267.13% during this period. This region presented an average production of 3,307,257.04 tons, while the central and northern regions had a production of 1,120,381.68 t and 971,569.03 t, respectively. The south of MS is the region with the largest area planted with soybeans, consequently, this region has a greater production. The growth and variation in production, area, and yield of soybeans between 2000 and 2018 are shown in Fig. 4.

The correlation between soybean yield and climatic variables for the State of MS shows distinct relationships (Fig. 5). In general, the largest direct correlations (+) were between water storage in December (ARM_{DEZ}) and real evapotranspiration in December and February (ETR_{DEZ} e ETR_{FEV}), these results showed that crop yield increased as ARM_{DEZ} , ETR_{DEZ} , and ETR_{FEV} increased. Thus plants have greater availability of water to conduct photosynthesis. It is important to emphasize that the variable with the lowest correlation ($r = -0.02$) with soybean yield was ETP_{NOV} (Fig. 5).

204 DEF_{DEZ} and DEF_{FEV} were the variables with the greatest negative correlations, with values between 0.54 and
205 0.41, respectively. Various authors have emphasized the negative influence of DEF in several crops, e.g.,
206 Martins et al. (2015) and Valeriano et al. (2018) for coffee crops, and Aparecido et al. (2018) for Annatto (*Bixa*
207 *orellana* L.). DEF has a negative influence because it reduces the capacity for the evapotranspiration of plants,
208 consequently, reduces net photosynthesis.

209 It is important to emphasize that in the selection process for the prediction variables for soybean yield, we
210 applied the method of testing all possible combinations with up to four variables, which produced a total of
211 24,157 combinations of independent variables, from which we initially removed equations that showed multi-
212 collinearity. The viable equations were ordered to reduce the MAPE and increase the adjusted R² ($p < 0.05$).

213 All the models calibrated to predict soybean yield was accurate and precise and had a low tendency (Table 1).
214 The model calibrated for the north of Mato Grosso do Sul state yielded the following statistical indices: R = 0.4;
215 R² = 0.38; d = 0.45; C = 0.18; Ea = 97; Es = 2.48; EAmax = 4.1; MSE = 7.06; RMSE = 2.66; MAE = 2.33; and
216 MAPE = 4.63% (Table 2). A calibrated model with a MAPE of 5.197% (central region) was considered accurate
217 since for average soybeans yield of 55 sacks ha⁻¹, there was a deviation of just ± 2.80 sacks.ha⁻¹. Several authors
218 who study crop modeling have reported that a model with MAPE below 6.063%, as found in the current study
219 for the Central and South regions, is considered to have a low error for modeling using climate data (Moreto and
220 Rolim, 2015).

221 The models calibrated for the regions of Mato Grosso do Sul are shown in Table 1. The models from the north
222 and central regions show a prevision of anticipation of 2 months (59 days) since the final data necessary to apply
223 the model were EXC_{JANc} and P_{JANc}, respectively. The models calibrated for the southern region reported
224 anticipation of 1 month (31 days) since the final data necessary to apply the model was EXC_{FEVc}.

225 The variables selected to compose the prediction models were strictly related to water conditions since all model
226 variables were water-based: P, ETP, ETR, and EXC. For the northern region, the variable with the greatest
227 influence was ETR_{JANc}, which represents the moment when this crop is in the initial phase of grain filling. The
228 elasticity analysis of ETR_{JANc} demonstrates that it has a strong and direct relationship with soybean yield since
229 its elasticity was +0.252 and significant at $p < 0.05$. This elasticity indicates that there was an increase in 10% in
230 ETR_{JANc} of soybean, this caused an increase of 2.252% in the crop yield (Table 1, Model [1]).

231 The spatial variation of predicted and real yield of soybeans in Mato Grosso do Sul is shown in Fig. 6. In the
232 southern region real yield varied between 50.1 and 55 sacks ha⁻¹, while in the central region yield was above 55
233 sacks ha⁻¹, as observed in the localities of Ivinhema, Amaurilândia, and Batayporã (Fig. 6B). With high
234 accuracy, these regression models were able to predict this spatial variation of soybean yield in Mato Grosso do
235 Sul (Fig. 6B).

236 The deviation between the real and estimated yield of soybeans in Mato Grosso do Sul is observed in Fig. 6C. In
237 86% of the territory of Mato Grosso do Sul the models, as a function of climatic conditions, demonstrated
238 deviations lower than 5 sacks ha⁻¹. In a few localities such as Costa Rica, Alcinópolis, Cassilândia, Camapuã,
239 Maracaju, Bonito, and Eldorado, the models demonstrated deviations between 5 and 10 sacks ha⁻¹, however,
240 these localities represent less than 10% of the total area of Mato Grosso do Sul. The performance of these
241 models also underestimates soybean yield less than 54.5 sacks ha⁻¹ (Fig. 7).

242 **4 Conclusions and perspectives**

243 The calibrated models used to forecast soybean yield as a function of climatic conditions have a high degree of
244 significance, high accuracy, and errors lower.

245 The models for the northern and central regions show a prevision of anticipation of 2 months (59 days) before
246 soybean harvest, a period that is essential for producers to be able to conduct pre- and post-harvest planning.

247 Water stress mainly in December (DEF_{DECp}) is the climate variable with the greatest negative influence on
248 soybean yield in Mato Grosso do Sul state.

249 In the northern region of the state occur the highest air temperatures, of 27°C. While, the lowest mean air
250 temperatures of the regions occur between June and July, where the lowest mean air temperature is in the
251 southern region of MS, with 19°C. These results are within adequate air temperatures for soybean cultivation in
252 MS.

253 The annual water deficit (WD) is more intense in the north of the State of Mato Grosso do Sul between May to
254 October, with 140 mm y⁻¹.

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263 **Author's contribution:** LEOA conceived of the project and together with GBT designed the study.
264 GBT, JRSCM and KCM were responsible for collected the data and carried out the statistical analyses.
265 JAL and PAL were responsible for the field work. All authors approved the final version of the
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274 **Compliance with ethical standards**

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276 Conflict of interest: The authors declare that they have no conflict of interest

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318

319 Tables

320

321 **Table 1.** Calibrated models to estimate soybean supply in the state of Mato Grosso do Sul, as affected by
322 climate control.

Regions	Models	p-value	Forecasting	
			Month	Days
NORTH	$Y = 0.029 \cdot P_{DEZp} - 0.245 \cdot ETR_{NOVp} - 0.252 \cdot ETR_{JANc}$ + 0.028. EXC _{JANc} + 82.461	0.0001	2	59
CENTER	$Y = 0.0214 \cdot P_{JANc} - 0.290 \cdot ETP_{DEZp} - 0.012 \cdot ETR_{JANc}$ + 0.0183. EXC _{DEZp} + 63.91	0.0004	2	59
SOUTH	$Y = 0.056 \cdot P_{DEZp} - 0.045 \cdot EXC_{NOVp} - 0.035 \cdot EXC_{JANc}$ + 0.053. EXC _{FEVC} + 39.817	0.0031	1	31

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328 **Table 2.** Statistical indices used to evaluate the accuracy, precision, and tendency of calibrated models used to
329 forecast soybean yield in Mato Grosso do Sul

Statistical indices	Regions		
	CENTER	NORTH	SOUTH
R	0.55	0.4	0.84
R ²	0.452	0.38	0.689
d	0.72	0.45	0.91
C	0.39	0.18	0.77
Ea	1.82	0.97	2.31
Es	1.23	2.48	0.49
EAmax	4.6	4.1	5.3
MSE	4.8	7.06	5.59
RMSE	2.19	2.66	2.36
MAE	1.69	2.33	1.95
MAPE	5.19	4.63	6.06

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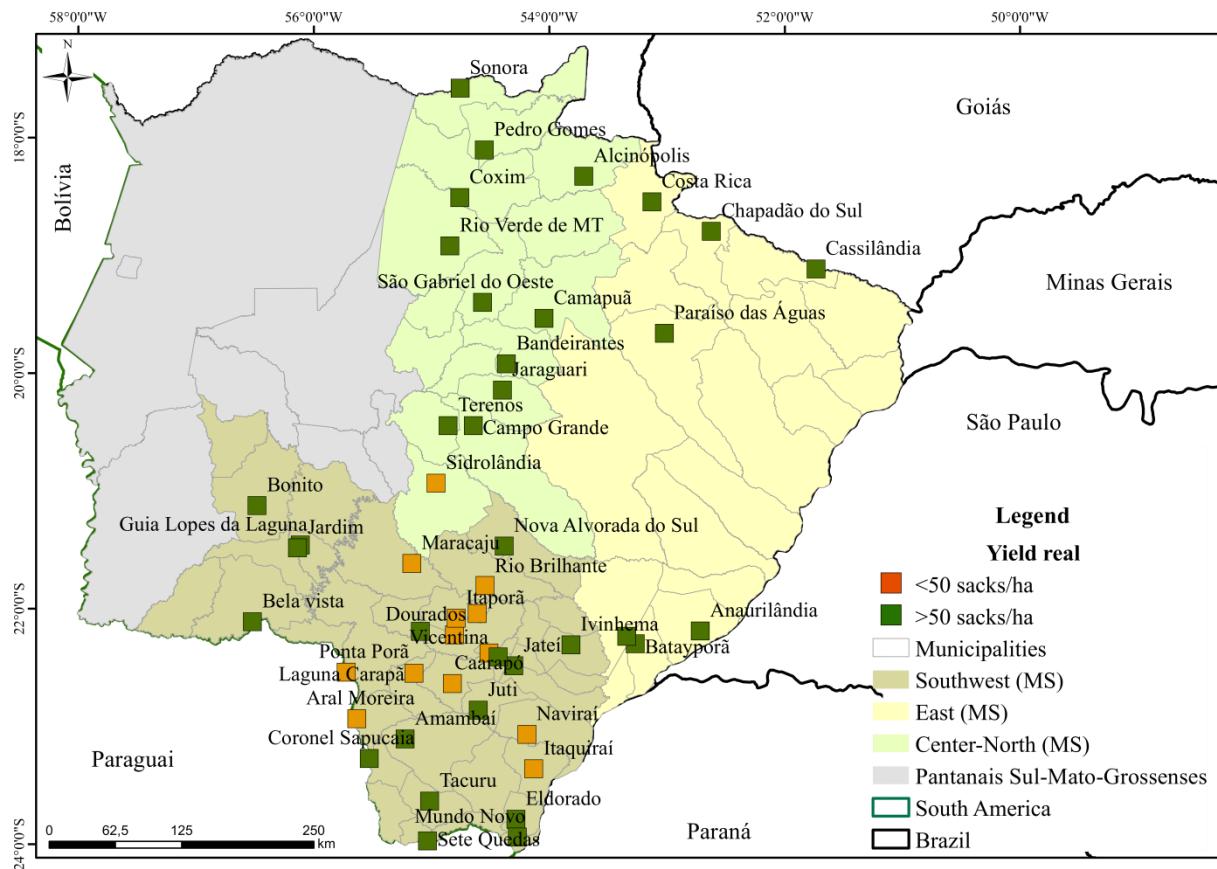
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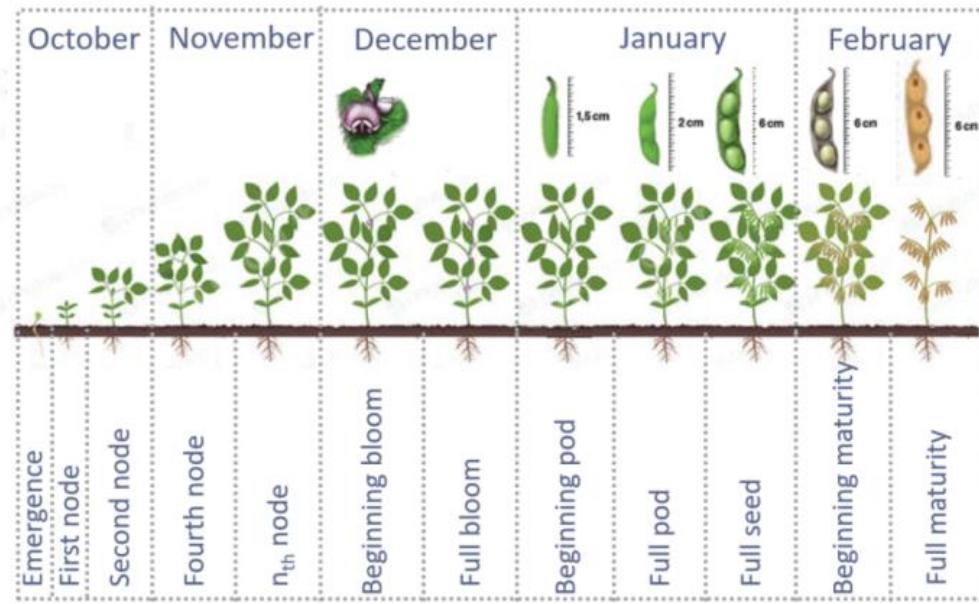
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334 Figures

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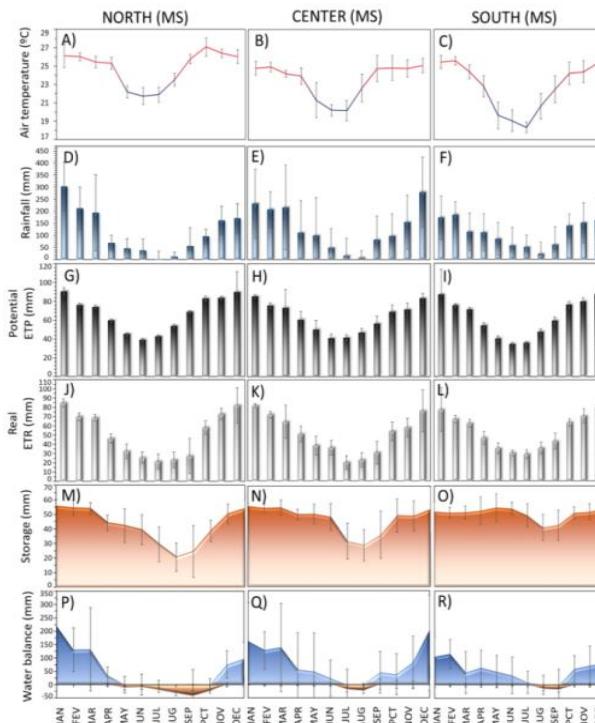
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Fig. 2. Phenology of planting and harvest of soybeans.

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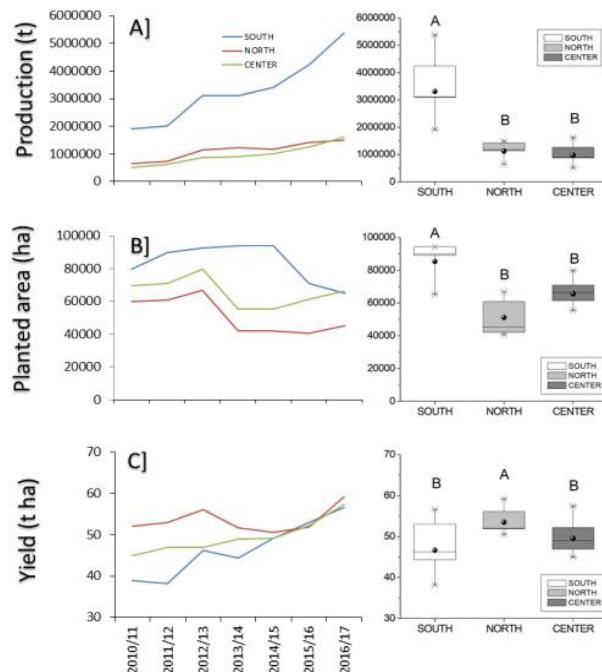
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346 **Fig. 3.** Variation of climatic variables for the North, Central, and South regions of Mato Grosso do Sul.

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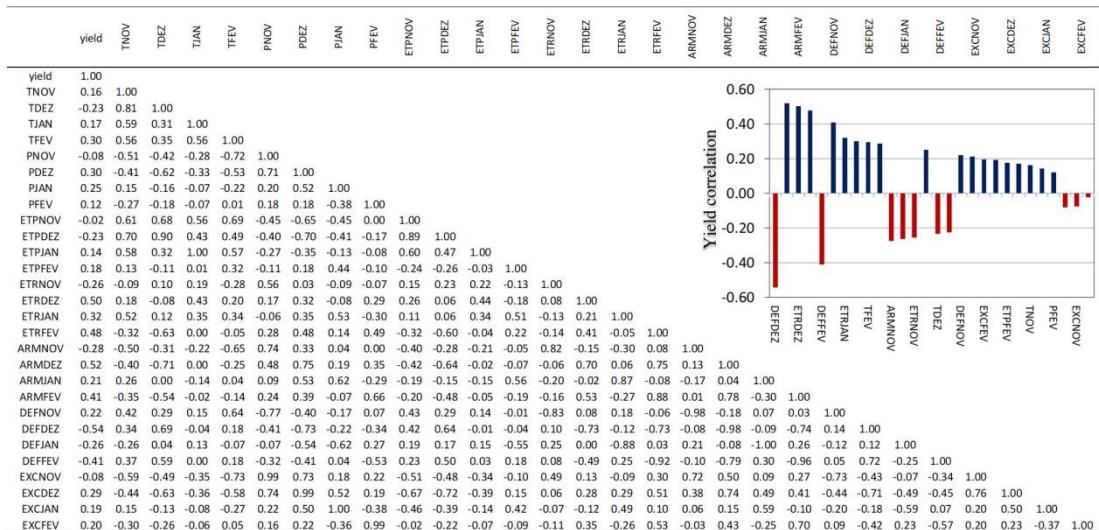
Fig. 4. Variation in production, area, and yield of soybean between 2008 and 2018 for the Northern, Central, and Southern regions of Mato Grosso do Sul, Brazil. Legend = Averages with identical capital letters do not significantly differ by the Scott-Knott test at 5 % probability.

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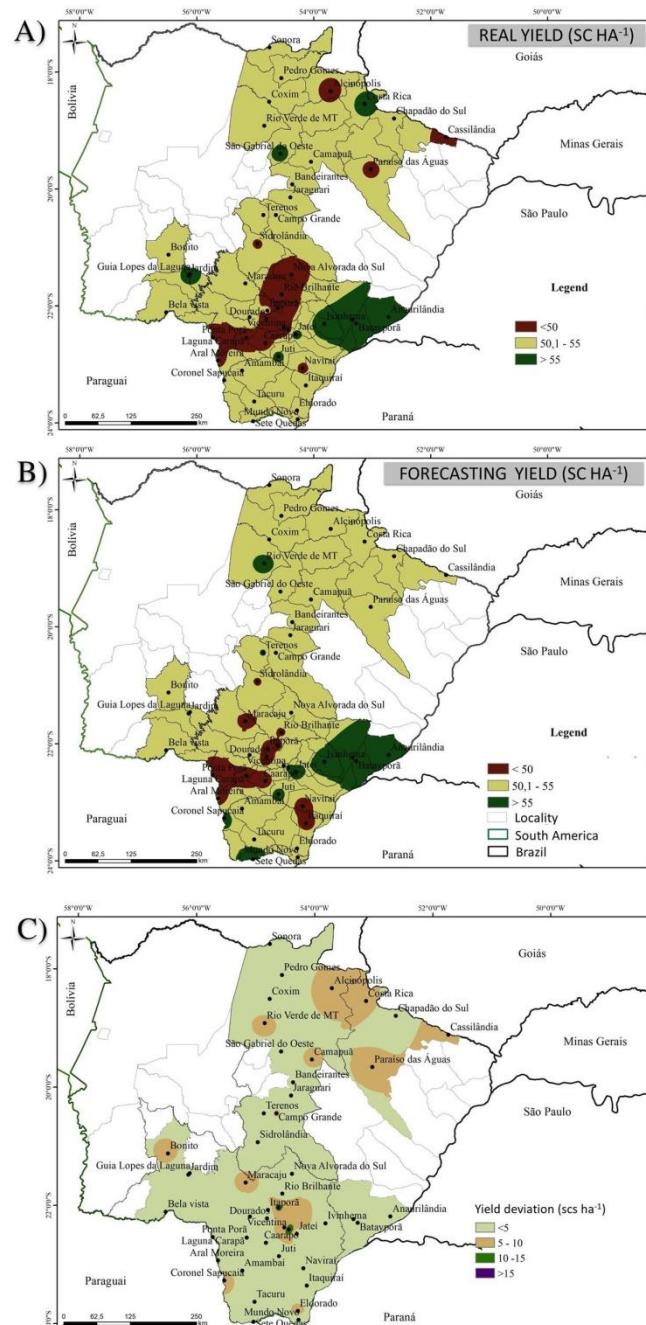
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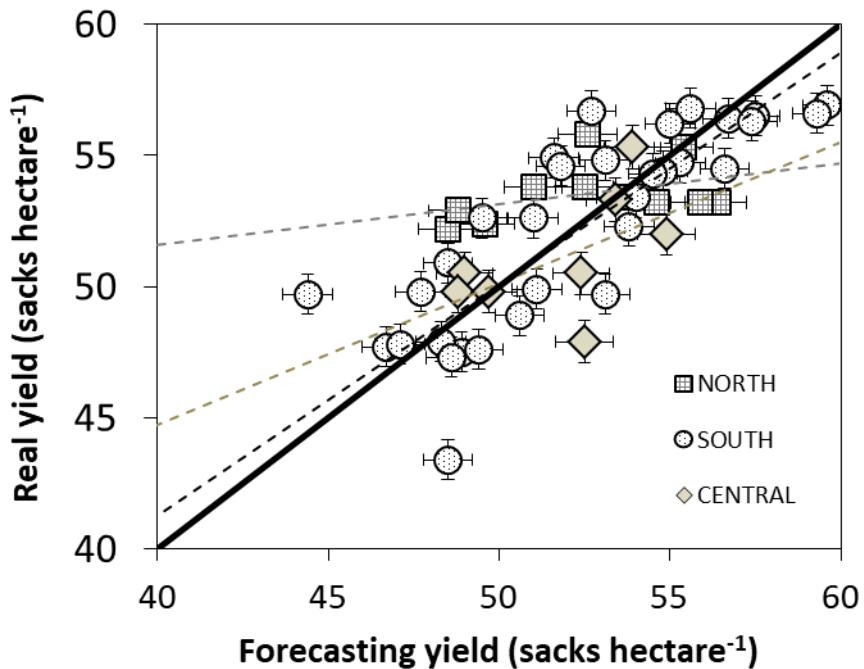
355 **Fig. 5.** Effect of the climatic variables on soybean yield by Pearson correlation.

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358 **Fig. 6.** Maps of real yield (A), forecasted yield (B), and the difference between real and forecasted yield (C) for
359 the calibrated model in function of climate conditions in Mato Grosso do Sul, Brazil.
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362 **Fig. 7.** Performance of the model of prediction of soybean yield in Mato Grosso do Sul, Brazil.